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| Financial Services Fee Structure | | | | | | | | | | | | |
|--|--|---|---------------------|----------|------------|---------|-------------|---------|---------------|---------|--------------|----------|
| Service Level | Recommended For | Fee For Service | | | | | | | | | | |
| Gold | <ul style="list-style-type: none"> • Clients who enjoy and desire the highest level of personal attention while achieving their financial goals. • Clients with investable assets of \$1,000,000 or more. | Initial Plan Fee: \$2,400 \$200 Monthly | | | | | | | | | | |
| Silver | <ul style="list-style-type: none"> • Clients who want a strategic financial plan with semi-annual progress meetings. • Clients with investable assets between \$250,000 and \$1,000,000. | Initial Plan Fee: \$1,200 \$100 Monthly | | | | | | | | | | |
| Bronze | <ul style="list-style-type: none"> • Clients who are new to the financial planning process and want to evaluate their progress annually. • Clients with investable assets under \$250,000. | Initial Plan Fee \$600 \$50 Monthly | | | | | | | | | | |
| Managed Opportunities and NextPhase™ (Asset Management Services—AUM) | <p>Though we offer asset management as a stand alone service, we find that our most successful client relationships result from combining <i>Managed Opportunities and NextPhase™</i> with financial planning services. This comprehensive approach to wealth management produces the most mutually rewarding relationships and allows us to better evaluate the progress toward achieving your financial goals.</p> | <table border="0"> <thead> <tr> <th style="text-align: left;"><u>Account Size</u></th> <th style="text-align: left;"><u>%</u></th> </tr> </thead> <tbody> <tr> <td>Above \$1M</td> <td>.65-.75</td> </tr> <tr> <td>\$750k-\$1M</td> <td>.75-.90</td> </tr> <tr> <td>\$250k-\$750k</td> <td>.90-1.0</td> </tr> <tr> <td>Up to \$250k</td> <td>1.0-1.15</td> </tr> </tbody> </table> <p>Advisor fee only, additional account fees apply. Fees are tiered per account, not an aggregate of total assets managed.</p> | <u>Account Size</u> | <u>%</u> | Above \$1M | .65-.75 | \$750k-\$1M | .75-.90 | \$250k-\$750k | .90-1.0 | Up to \$250k | 1.0-1.15 |
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